CMMI^{sm} (SE/SW/IPPD/SS) Process Evaluation Checklist

Level	Process Area	Specific Goal	Specific Practice	Concept	Detailed	Modular	Formatted	Usable	Evidence	Total
1 - Initial										
2 - Managed	Requirements Management	Manage Requirements	Obtain an Understanding of Requirements	1	2	4	8	16	32	100%
			Obtain Commitment to Requirements	1	2	4	8	16	32	100%
			Manage Requirements Changes	1	2	4	8	16	32	100%
			Maintain Bidirectional Traceability of Requirements	1	2	4	8	16	32	100%
			Identify Inconsistencies Between Project Work and Requirements	1	2	4	8	16	32	100%
	Project Planning	Establish Estimates	Estimate the Scope of the Project	1	2	4	8	16	32	100%
			Establish Estimates of Work Product and Task Attributes	1	2	4	8	16	32	100%
			Define Project Life Cycle	1	2	4	8	16	32	100%
			Determine Estimates of Effort and Cost	1	2	4	8	16	32	100%
		Develop a Project Plan	Establish the Budget and Schedule	1	2	4	8	16	32	100%
			Identify Project Risks	1	2	4	8	16	32	100%
			Plan for Data Management	1	2	4	8	16	32	100%
			Plan for Project Resources	1	2	4	8	16	32	100%
			Plan for Needed Knowledge and Skills	1	2	4	8	16	32	100%
			Plan Stakeholder Involvement	1	2	4	8	16	32	100%
			Establish the Project Plan	1	2	4	8	16	32	100%
		Obtain Commitment to the Plan	Review Plans that Affect the Project	1	2	4	8	16	32	100%
			Reconcile Work and Resource Levels	1	2	4	8	16	32	100%
			Obtain Plan Commitment	1	2	4	8	16	32	100%
	Project Monitoring and Control	Monitor Project Against Plan	Monitor Project Planning Parameters	1	2	4	8	16	32	100%
			Monitor Commitments	1	2	4	8	16	32	100%
			Monitor Project Risks	1	2	4	8	16	32	100%
			Monitor Data Management	1	2	4	8	16	32	100%
			Monitor Stakeholder Involvement	1	2	4	8	16	32	100%
			Conduct Progress Reviews	1	2	4	8	16	32	100%
			Conduct Milestone Reviews	1	2	4	8	16	32	100%
		Manage Corrective Action to Closure	Analyze Issues	1	2	4	8	16	32	100%
			Take Correction Action	1	2	4	8	16	32	100%
			Manage Corrective Action	1	2	4	8	16	32	100%
	Supplier Agreement Management	Establish Supplier Agreements	Determine Acquisition Type	1	2	4	8	16	32	100%
			Select Suppliers	1	2	4	8	16	32	100%
			Establish Supplier Agreements	1	2	4	8	16	32	100%
		Satisfy Supplier Agreements	Review COTS Products	1	2	4	8	16	32	100%
			Execute the Supplier Agreement	1	2	4	8	16	32	100%
			Accept the Acquired Product	1	2	4	8	16	32	100%
			Transition Products	1	2	4	8	16	32	100%
	Measurement and Analysis	Align Measurement and Analysis Activities	Establish Measurement Objectives	1	2	4	8	16	32	100%
			Specify Measures	1	2	4	8	16	32	100%
			Specify Data Collection and Storage Procedures	1	2	4	8	16	32	100%
			Specify Analysis Procedures	1	2	4	8	16	32	100%
		Provide Measurement Results	Collect Measurement Data	1	2	4	8	16	32	100%
			Analyze Measurement Data	1	2	4	8	16	32	100%
			Store Data and Results	1	2	4	8	16	32	100%
			Communicate Results	1	2	4	8	16	32	100%
	Process and Product Quality Assurance	Objectively Evaluate Processes	Objectively Evaluate Processes	1	2	4	8	16	32	100%
			Objectively Evaluate Work Products and Services	1	2	4	8	16	32	100%
		Provide Objective Insight	Communicate and Ensure Resolution of Noncompliance Issues	1	2	4	8	16	32	100%
			Establish Records	1	2	4	8	16	32	100%
	Configuration Management	Establish Baselines	Identify Configuration Items	1	2	4	8	16	32	100%
			Establish a Configuration Management System	1	2	4	8	16	32	100%
			Create or Release Baselines	1	2	4	8	16	32	100%
		Track and Control Changes	Track Change Requests	1	2	4	8	16	32	100%
			Control Configuration Items	1	2	4	8	16	32	100%
		Establish Integrity	Establish Configuration Management Records	1	2	4	8	16	32	100%
			Perform Configuration Audits	1	2	4	8	16	32	100%
		CMMI Level 2 Score		100%	100%	100%	100%	100%	100%	100%
	Requirements Development	Develop Customer Requirements	Collect Stakeholder Needs	1	2	4	8	16	32	100%
			Elicit Needs	1	2	4	8	16	32	100%
			Develop the Customer Requirements	1	2	4	8	16	32	100%
		Develop Product Requirements	Establish Product and Product-Component Requirements	1	2	4	8	16	32	100%
			Allocate Product Component Requirements	1	2	4	8	16	32	100%
			Identify Interface Requirements	1	2	4	8	16	32	100%
		Analyze and Validate Requirements	Establish Operational Concepts and Scenarios	1	2	4	8	16	32	100%
			Establish a Definition of Required Functionality	1	2	4	8	16	32	100%
			Analyze Requirements	1	2	4	8	16	32	100%
			Analyze Requirements to Achieve Balance	1	2	4	8	16	32	100%
			Validate Requirements	1	2	4	8	16	32	100%
			Validate Requirements with Comprehensive Methods	1	2	4	8	16	32	100%
	Technical Solution	Select Product-Component Solutions	Develop Alternative Solutions and Selection Criteria	1	2	4	8	16	32	100%
			Develop Detailed Alternative Solutions and Selection Criteria	1	2	4	8	16	32	100%
			Evolve Operational Concepts and Scenarios	1	2	4	8	16	32	100%
			Select Product-Component Solutions	1	2	4	8	16	32	100%
		Develop the Design	Design the Product or Product Component	1	2	4	8	16	32	100%
			Establish a Technical Data Package	1	2	4	8	16	32	100%
			Establish Interface Descriptions	1	2	4	8	16	32	100%
			Design Interfaces Using Criteria	1	2	4	8	16	32	100%
			Perform Make, Buy, or Reuse Analyses	1	2	4	8	16	32	100%
		Implement the Product Design	Implement the Design	1	2	4	8	16	32	100%
			Develop Product Support Documentation	1	2	4	8	16	32	100%
	Product Integration	Prepare for Product Integration	Determine Integration Sequence	1	2	4	8	16	32	100%
			Establish the Product Integration Environment	1	2	4	8	16	32	100%
			Establish Product Integration Procedures and Criteria	1	2	4	8	16	32	100%
		Ensure Interface Compatibility	Review Interface Descriptions for Completeness	1	2	4	8	16	32	100%
			Manage Interfaces	1	2	4	8	16	32	100%
		Assemble Product Components and Deliver the Product	Confirm Readiness of Product Components for Integration	1	2	4	8	16	32	100%
			Assemble Product Components	1	2	4	8	16	32	100%
			Evaluate Assembled Product Components	1	2	4	8	16	32	100%
			Package and Deliver the Product or Product Component	1	2	4	8	16	32	100%
	Verification	Prepare for Verification	Select Work Products for Verification	1	2	4	8	16	32	100%
			Establish the Verification Environment	1	2	4	8	16	32	100%
			Establish Verification Procedures and Criteria	1	2	4	8	16	32	100%
		Perform Peer Reviews	Prepare for Peer Reviews	1	2	4	8	16	32	100%
			Conduct Peer Reviews	1	2	4	8	16	32	100%
			Analyze Peer Review Data	1	2	4	8	16	32	100%
		Verify Selected Work Products	Perform Verification	1	2	4	8	16	32	100%
			Analyze Verification Results and Identify Corrective Action	1	2	4	8	16	32	100%
		Prepare for Validation	Select Products for Validation	1	2	4	8	16	32	100%
			Establish the Validation Environment	1	2	4	8	16	32	100%

Level	Process Area	Specific Goal	Specific Practice							
3 - Defined	Validation	Validation	Establish Validation Procedures and Criteria	1	2	4	8	16	32	100%
		Validate Product or Product	Perform Validation	1	2	4	8	16	32	100%
			Analyze Validation Results	1	2	4	8	16	32	100%
	Organizational Process Focus	Determine Process-Improvement Opportunities	Establish Organizational Process Needs	1	2	4	8	16	32	100%
			Appraise the Organization's Processes	1	2	4	8	16	32	100%
			Identify the Organization's Process Improvements	1	2	4	8	16	32	100%
		Plan and Implement Process-Improvement Activities	Establish Process Action Plans	1	2	4	8	16	32	100%
			Implement Process Action Plans	1	2	4	8	16	32	100%
			Deploy Organizational Process Assets	1	2	4	8	16	32	100%
			Incorporate Process-Related Experiences into the Organizational Proce	1	2	4	8	16	32	100%
	Organizational Process Definition	Establish Organizational Process Assets	Establish Standard Processes	1	2	4	8	16	32	100%
			Establish Life-Cycle Model Descriptions	1	2	4	8	16	32	100%
			Establish Tailoring Criteria and Guidelines	1	2	4	8	16	32	100%
			Establish the Organization's Measurement Repository	1	2	4	8	16	32	100%
			Establish the Organization's Process Asset Library	1	2	4	8	16	32	100%
	Organizational Training	Establish an Organizational Training Capability	Establish the Strategic Training Needs	1	2	4	8	16	32	100%
			Determine Which Training Needs Are the Responsibility of Organizati	1	2	4	8	16	32	100%
			Establish an Organizational Training Tactical Plan	1	2	4	8	16	32	100%
			Establish Training Capability	1	2	4	8	16	32	100%
		Provide Necessary Training	Deliver Training	1	2	4	8	16	32	100%
			Establish Training Records	1	2	4	8	16	32	100%
			Assess Training Effectiveness	1	2	4	8	16	32	100%
	Integrated Project Management for IPPD	Use the Project's Defined Process	Establish the Project's Defined Process	1	2	4	8	16	32	100%
			Use Organizational Process Assets for Planning Project Activities	1	2	4	8	16	32	100%
			Integrate Plans	1	2	4	8	16	32	100%
			Manage the Project Using the Integrated Plans	1	2	4	8	16	32	100%
			Contribute to the Organizational Process Assets	1	2	4	8	16	32	100%
		Coordinate and Collaborate with Relevant	Manage Stakeholder Involvement	1	2	4	8	16	32	100%
			Manage Dependencies	1	2	4	8	16	32	100%
			Resolve Coordination Issues	1	2	4	8	16	32	100%
		Use the Project's Shared Vision for	Define Project's Shared-Vision Context	1	2	4	8	16	32	100%
			Establish the Project's Shared Vision	1	2	4	8	16	32	100%
		Organize Integrated Teams for IPPD	Determine Integrated Team Structure for the Project	1	2	4	8	16	32	100%
			Develop Preliminary Distribution of Requirements to Integrated Team	1	2	4	8	16	32	100%
			Establish Integrated Teams	1	2	4	8	16	32	100%
	Risk Management	Prepare for Risk Management	Determine Risk Sources and Categories	1	2	4	8	16	32	100%
			Define Risk Parameters	1	2	4	8	16	32	100%
			Establish a Risk Management Strategy	1	2	4	8	16	32	100%
		Identify and Analyze Risks	Identify Risks	1	2	4	8	16	32	100%
			Evaluate, Categorize, and Prioritize Risks	1	2	4	8	16	32	100%
		Mitigate Risks	Develop Risk Mitigation Plans	1	2	4	8	16	32	100%
			Implement Risk Mitigation Plans	1	2	4	8	16	32	100%
	Integrated Teaming	Establish Team Composition	Identify Team Tasks	1	2	4	8	16	32	100%
			Identify Needed Knowledge and Skills	1	2	4	8	16	32	100%
			Assign Appropriate Team Members	1	2	4	8	16	32	100%
		Govern Team Operation	Establish a Shared Vision	1	2	4	8	16	32	100%
			Establish a Team Charter	1	2	4	8	16	32	100%
			Define Roles and Responsibilities	1	2	4	8	16	32	100%
			Establish Operating Procedures	1	2	4	8	16	32	100%
			Collaborate Among Interfacing Teams	1	2	4	8	16	32	100%
	Integrated Supplier Management	Analyze and Select Sources of Products	Analyze Potential Sources of Products	1	2	4	8	16	32	100%
			Evaluate and Determine Sources of Products	1	2	4	8	16	32	100%
		Coordinate Work with Suppliers	Monitor Selected Supplier Work Products	1	2	4	8	16	32	100%
			Evaluate Selected Supplier Work Products	1	2	4	8	16	32	100%
			Revise the Supplier Agreement or Relationship	1	2	4	8	16	32	100%
	Decision Analysis and Resolution	Evaluate Alternatives	Establish Guidelines for Decision Analysis	1	2	4	8	16	32	100%
			Establish Evaluation Criteria	1	2	4	8	16	32	100%
			Identify Alternative Solutions	1	2	4	8	16	32	100%
			Select Evaluation Methods	1	2	4	8	16	32	100%
			Evaluate Alternatives	1	2	4	8	16	32	100%
			Select Solutions	1	2	4	8	16	32	100%
	Organizational Environment for Integration	Provide IPPD Infrastructure	Establish the Organization's Shared Vision	1	2	4	8	16	32	100%
			Establish an Integrated Work Environment	1	2	4	8	16	32	100%
			Identify IPPD-Unique Skill Requirements	1	2	4	8	16	32	100%
		Manage People for Integration	Establish Leadership Mechanisms	1	2	4	8	16	32	100%
			Establish Incentives for Integration	1	2	4	8	16	32	100%
			Establish Mechanisms to Balance Team and Home Organization Respo	1	2	4	8	16	32	100%
	CMMI Level 3 Score			100%	100%	100%	100%	100%	100%	100%
4 - Quantitatively Managed	Organizational Process Performance	Establish Performance Baselines and Models	Select Processes	1	2	4	8	16	32	100%
			Establish Process Performance Measures	1	2	4	8	16	32	100%
			Establish Quality and Process-Performance Objectives	1	2	4	8	16	32	100%
			Establish Process Performance Baselines	1	2	4	8	16	32	100%
			Establish Process Performance Models	1	2	4	8	16	32	100%
	Quantitative Project Management	Quantitatively Manage the Project	Establish the Project's Objectives	1	2	4	8	16	32	100%
			Compose the Defined Process	1	2	4	8	16	32	100%
			Select the Subprocesses that Will Be Statistically Managed	1	2	4	8	16	32	100%
			Manage Project Performance	1	2	4	8	16	32	100%
		Statistically Manage Subprocess Performance	Select Measures and Analytic Techniques	1	2	4	8	16	32	100%
			Apply Statistical Methods to Understand Variation	1	2	4	8	16	32	100%
			Monitor Performance of the Selected Subprocesses	1	2	4	8	16	32	100%
			Record Statistical Management Data	1	2	4	8	16	32	100%
	CMMI Level 4 Score			100%	100%	100%	100%	100%	100%	100%
5 - Optimizing	Organizational Innovation and Deployment	Select Improvements	Collect and Analyze Improvement Proposals	1	2	4	8	16	32	100%
			Identify and Analyze Innovations	1	2	4	8	16	32	100%
			Pilot Improvements	1	2	4	8	16	32	100%
			Select Improvements for Deployment	1	2	4	8	16	32	100%
		Deploy Improvements	Plan the Deployment	1	2	4	8	16	32	100%
			Manage the Deployment	1	2	4	8	16	32	100%
			Measure Improvement Effects	1	2	4	8	16	32	100%
	Causal Analysis and Resolution	Determine Causes of Defects	Select Defect Data for Analysis	1	2	4	8	16	32	100%
			Analyze Causes	1	2	4	8	16	32	100%
		Address Causes of Defects	Implement the Action Proposals	1	2	4	8	16	32	100%
			Evaluate the Effect of Changes	1	2	4	8	16	32	100%
			Record Data	1	2	4	8	16	32	100%
	CMMI Level 5 Score			100%	100%	100%	100%	100%	100%	100%
CMMI Level 2, 3, 4, & 5 Score				100%	100%	100%	100%	100%	100%	100%

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